PATENT APPLICATION 16 41 DOCKET NO.: 1564-1908-002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Daniel J. Smith and Martin A. Taubman

RECEIVED

Application No.:

09/290,049

Group Art Unit:

1645

MAR 0 4 2002

Filed:

April 12, 1999

Examiner:

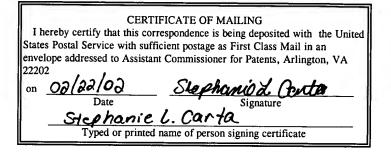
L. Lee

TECH CENTER 1600/2900

Title:

Synthetic Peptide Vaccines for Dental Caries





SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Arlington, VA 22202

Sir:						
This	Information Disclosure Statement is submitted:					
[]	under 37 CFR 1.129(a), or (First/Second submission after Final Rejection)					
[X]	under 37 CFR 1.97(b), or (Within any one of the following time periods: three months of filing national application (other than a CPA) or date of entry of the national stage in an international application; or before the mailing date of a first office action on the merits in a non-provisional application, including CPA, or a Request for Continued Examination).					
[]	under 37 CFR 1.97(c) together with either:					
	[] a Statement under 37 CFR 1.97(e), as checked below, or					
	[] a \$180.00 fee under 37 CFR 1.17(p), or (After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)					
[]	under 37 CFR 1.97(d) together with:					
	[] a Statement under 37 CFR 1.97(e), as checked below, and					
	[] a \$180.00 fee under 37 CFR 1.17(p), or (Filed after final action or notice of allowance, whichever occurs first, but on or before payment of the issue fee)					
[]	under 37 CFR 1.97(i): Applicant requests that the IDS and cited reference(s) be placed in the application filewrapper.					

<u>Sta</u>	tem	en	t Un	der 37 (CFR 1.97(e)			
[]		Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or						
	İ	No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contains in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.						
Sta	<u>tem</u>	en	t Un	der 37 ((Patent Term Adjustment) Applies to original applications (other than design) filed on or after May 29, 2000			
[]	!	Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.						
[X]		Enclosed herewith is form PTO-1449:						
	1				of the cited references (AB-AD, AL, AY3, and AZ3) are enclosed.			
]	Copies Applic	of cited references are enclosed except those entered in prior application, U.S. ation No. [], to which priority under 35 U.S.C. 120 is claimed. [The earlier ation contains copies of the cited references.]			
		[]	The lis	ted references were cited in the enclosed International Search Report in a rpart foreign application.			
		[]	The "c	oncise explanation" requirement (non-English references) for reference(s) [37 CFR 1.98(a)(3) is satisfied by:			
				[]	the explanation provided on the attached sheet.			
				[]	the explanation provided in the Specification.			
				[]	submission of the enclosed International Search Report.			
				[]	submission of the enclosed English-language version of a foreign Search Report and/or foreign Office Action.			
				[]	the enclosed English language abstract.			

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[]	Appli	cant requests that the following n	on-published pending appli	cations be considered:			
Examiner' Initials	S						
	-	U.S. Patent Application No. [], by [inventor(s)], filed [], Docket No.: []			
	-	U.S. Patent Application No. [], by [inventor(s)], filed [], Docket No.: []			
	-	U.S. Patent Application No. [], by [inventor(s)], filed [], Docket No.: []			
		Examiner	Date	_			
	[]	A copy of each above-cited app	olication, including the curre	nt claims, is enclosed.			
	[]	A copy of each above-cited app those entered in prior application 35 U.S.C. 120 is claimed.	olication, including the curre on, U.S. Application No. [nt claims, is enclosed, except], to which priority under			
The E	xamine nces we	or is requested to return a copy of ere considered with the next offic	the above list of pending appearance communication.	plications indicating which			
It is re	equested	d that the information disclosed h	erein be made of record in the	nis application.			
Metho	od of pa	yment:					
[]		ck for the fee noted above is encl npanying Reply. A copy of this S		cluded in the check with the			
[]	Please	e charge Deposit Account 08-0380 in the amount of \$[]. A copy of this Statement is sed.					
[X]	Please charge any deficiency in fees and credit any overpayment to Deposit Account 0						
	Respectfully submitted,						
		H	IAMILTON, BROOK, SMI	TH & REYNOLDS, P.C.			
		В	Pamela A. Torpey Registration No.: 45,736 Telephone: (978) 341-0036 Facsimile: (978) 341-0136	pey			

Concord, MA 01742-9133
Dated: Dimmy 22,2002